

FORM-PTO-1390
(Rev. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

003300-883

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

unassigned **10/019566**INTERNATIONAL APPLICATION NO.
PCT/SE00/01390INTERNATIONAL FILING DATE
30 June 2000PRIORITY DATE CLAIMED
6 July 1999 and 14 July 1999TITLE OF INVENTION
RECOMBINANT ADENOVIRUSAPPLICANT(S) FOR DO/EO/US
LEIF LINDHOLM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Signed Declaration will follow).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certified copies of Swedish Application No. 9902601-5, filed 6 July 1999, and U.S. Provisional Application No. 60/143,632, filed 14 July 1999, were submitted during the international phase of prosecution. Thus the claims for priority have been perfected.

**21839**

U.S. APPLICATION NO. (If known, see 37 CFR 1.51) (unassigned) 10/019566		INTERNATIONAL APPLICATION NO. PCT/SE00/01390		ATTORNEY'S DOCKET NUMBER 003300-883	
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21. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to U.S. PATENT AND TRADEMARK OFFICE and International Search Report not prepared by the EPO or JPO \$1,040.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to U.S. PATENT AND TRADEMARK OFFICE but International Search Report prepared by the EPO or JPO \$890.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to U.S. PATENT AND TRADEMARK OFFICE but international search fee (37 CFR 1.445(a)(2)) paid to U.S. PATENT AND TRADEMARK OFFICE \$740.00 (958) International preliminary examination fee (37 CFR 1.482) paid to U.S. PATENT AND TRADEMARK OFFICE but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 (956) International preliminary examination fee (37 CFR 1.482) paid to U.S. PATENT AND TRADEMARK OFFICE and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =	\$ 1,040.00	

Surcharge of \$130.00 (154) for furnishing the oath or declaration later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
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Claims	Number Filed	Number Extra	Rate		
Total Claims	23 - 20 =	3	X \$18.00 (966)	\$ 54.00	
Independent Claims	2 - 3 =	0	X \$84.00 (964)	\$ --	
Multiple dependent claim(s) (if applicable)			+ \$280.00 (968)	\$ --	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,094.00	
Reduction for 1/2 for filing by small entity, if applicable (see below).				+	\$ --
SUBTOTAL =				\$ 1,094.00	

Processing fee of \$130.00 (156) for furnishing the English translation later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ --	
TOTAL NATIONAL FEE =				\$ 1,094.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property				+	\$ --
TOTAL FEES ENCLOSED =				\$ 1,094.00	

	Amount to be refunded:	\$
	charged:	\$

a. ☐ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 1,094.00 to cover the above fees is enclosed.


c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Benton S. Duffett, Jr.
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620


 SIGNATURE
 Benton S. Duffett, Jr.
 NAME
 22,030
 REGISTRATION NUMBER
 December 31, 2001
 DATE